

FORM NO. MGT-7

[Pursuant to sub-Section(1) of section 92 of the Companies Act, 2013 and sub-rule (1) of rule 11of the Companies (Management and Administration) Rules, 2014]



Annual Return

Form language English Hindi

Refer the instruction kit for filing the form.

I. REGISTRATION AND OTHER DETAILS

(i) * Corporate Identification Number (CIN) of the company

U40300UP2012GOI053569

Pre-fill

Global Location Number (GLN) of the company

* Permanent Account Number (PAN) of the company

AAECN3221F

(ii) (a) Name of the company

NEYVELI UTTAR PRADESH POW

(b) Registered office address

6/42, Vipul Khand,
Gomti Nagar,
Lucknow
Lucknow
Uttar Pradesh
226010

(c) *e-mail ID of the company

cosec.nuppl@nlcindia.in

(d) *Telephone number with STD code

05224951065

(e) Website

nuppl.co.in

(iii) Date of Incorporation

09/11/2012

(iv)	Type of the Company	Category of the Company	Sub-category of the Company
	Private Company	Company limited by shares	Union Government Company

(v) Whether company is having share capital

Yes No

(vi) *Whether shares listed on recognized Stock Exchange(s)

Yes No

(vii) *Financial year From date (DD/MM/YYYY) To date (DD/MM/YYYY)

(viii) *Whether Annual general meeting (AGM) held Yes No

(a) If yes, date of AGM

(b) Due date of AGM

(c) Whether any extension for AGM granted Yes No

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

*Number of business activities

S.No	Main Activity group code	Description of Main Activity group	Business Activity Code	Description of Business Activity	% of turnover of the company
1	D	Electricity, gas, steam and air condition supply	D1	Electric power generation, transmission and distribution	0
2	B	Mining and quarrying	B1	Mining of Coal and lignite	0

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES (INCLUDING JOINT VENTURES)

*No. of Companies for which information is to be given

Pre-fill All

S.No	Name of the company	CIN / FCRN	Holding/ Subsidiary/Associate/ Joint Venture	% of shares held
1	NLC INDIA LIMITED	L93090TN1956GOI003507	Holding	51

IV. SHARE CAPITAL, DEBENTURES AND OTHER SECURITIES OF THE COMPANY

(i) *SHARE CAPITAL

(a) Equity share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Total number of equity shares	5,000,000,000	3,057,388,800	3,057,388,800	3,057,388,800
Total amount of equity shares (in Rupees)	50,000,000,000	30,573,888,000	30,573,888,000	30,573,888,000

Number of classes

Class of Shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
EQUITY SHARES				

Number of equity shares	5,000,000,000	3,057,388,800	3,057,388,800	3,057,388,800
Nominal value per share (in rupees)	10	10	10	10
Total amount of equity shares (in rupees)	50,000,000,000	30,573,888,000	30,573,888,000	30,573,888,000

(b) Preference share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid-up capital
Total number of preference shares	0	0	0	0
Total amount of preference shares (in rupees)	0	0	0	0

Number of classes

0

Class of shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Number of preference shares				
Nominal value per share (in rupees)				
Total amount of preference shares (in rupees)				

(c) Unclassified share capital

Particulars	Authorised Capital
Total amount of unclassified shares	0

(d) Break-up of paid-up share capital

Class of shares	Number of shares	Total nominal amount	Total Paid-up amount	Total premium
Equity shares				
At the beginning of the year	1,693,036,800	16,930,368,000	16,930,368,000	
Increase during the year	1,364,352,000	13,643,520,000	13,643,520,000	0
i. Public Issues	0	0	0	0
ii. Rights issue	1,364,352,000	13,643,520,000	13,643,520,000	0
iii. Bonus issue	0	0	0	0
iv. Private Placement/ Preferential allotment	0	0	0	0
v. ESOPs	0	0	0	0
vi. Sweat equity shares allotted	0	0	0	0

vii. Conversion of Preference share	0	0	0	0
viii. Conversion of Debentures	0	0	0	0
ix. GDRs/ADRs	0	0	0	0
x. Others, specify	0	0	0	
NA				
Decrease during the year	0	0	0	0
i. Buy-back of shares	0	0	0	0
ii. Shares forfeited	0	0	0	0
iii. Reduction of share capital	0	0	0	0
iv. Others, specify	0	0	0	
NA				
At the end of the year	3,057,388,800	30,573,888,000	30,573,888,000	
Preference shares				
At the beginning of the year	0	0	0	
Increase during the year	0	0	0	0
i. Issues of shares	0	0	0	0
ii. Re-issue of forfeited shares	0	0	0	0
iii. Others, specify	0	0	0	
NA				
Decrease during the year	0	0	0	0
i. Redemption of shares	0	0	0	0
ii. Shares forfeited	0	0	0	0
iii. Reduction of share capital	0	0	0	0
iv. Others, specify	0	0	0	
NA				
At the end of the year	0	0	0	

(ii) Details of stock split/consolidation during the year (for each class of shares)

0

Class of shares		(i)	(ii)	(iii)
Before split / Consolidation	Number of shares			
	Face value per share			
After split / Consolidation	Number of shares			
	Face value per share			

(iii) Details of shares/Debentures Transfers since closure date of last financial year (or in the case of the first return at any time since the incorporation of the company) *

- Nil
 [Details being provided in a CD/Digital Media] Yes No Not Applicable
- Separate sheet attached for details of transfers Yes No

Note: In case list of transfer exceeds 10, option for submission as a separate sheet attachment or submission in a CD/Digital Media may be shown.

Date of the previous annual general meeting		<input type="text"/>	
Date of registration of transfer (Date Month Year)		<input type="text"/>	
Type of transfer	<input type="text"/>	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock	
Number of Shares/ Debentures/ Units Transferred	<input type="text"/>	Amount per Share/ Debenture/Unit (in Rs.)	<input type="text"/>
Ledger Folio of Transferor		<input type="text"/>	
Transferor's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name
Ledger Folio of Transferee		<input type="text"/>	
Transferee's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

Date of registration of transfer (Date Month Year) <input type="text"/>			
Type of transfer	<input type="text"/>	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock	
Number of Shares/ Debentures/ Units Transferred	<input type="text"/>	Amount per Share/ Debenture/Unit (in Rs.)	<input type="text"/>
Ledger Folio of Transferor		<input type="text"/>	
Transferor's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name
Ledger Folio of Transferee		<input type="text"/>	
Transferee's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

(iv) *Indebtedness including debentures (Outstanding as at the end of financial year)

Particulars	Number of units	Nominal value per unit	Total value
Non-convertible debentures	0	0	0
Partly convertible debentures	0	0	0
Fully convertible debentures	0	0	0
Secured Loans (including interest outstanding/accrued but not due for payment) excluding deposits			51,777,717,000
Unsecured Loans (including interest outstanding/accrued but not due for payment) excluding deposits			0
Deposit			0
Total			51,777,717,000

Details of debentures

Class of debentures	Outstanding as at the beginning of the year	Increase during the year	Decrease during the year	Outstanding as at the end of the year
Non-convertible debentures	0	0	0	0
Partly convertible debentures	0	0	0	0
Fully convertible debentures	0	0	0	0

(v) Securities (other than shares and debentures)

0

Type of Securities	Number of Securities	Nominal Value of each Unit	Total Nominal Value	Paid up Value of each Unit	Total Paid up Value
Total					

V. *Turnover and net worth of the company (as defined in the Companies Act, 2013)**(i) Turnover**

0

(ii) Net worth of the Company

30,476,346,000

VI. (a) *SHARE HOLDING PATTERN - Promoters

S. No.	Category	Equity	Preference
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		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	0	0	0	
	(ii) Non-resident Indian (NRI)	0	0	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	3,057,388,800	100	0	
3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	0	0	0	
10.	Others NA	0	0	0	
	Total	3,057,388,800	100	0	0

Total number of shareholders (promoters)

2

(b) *SHARE HOLDING PATTERN - Public/Other than promoters

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	0	0	0	
	(ii) Non-resident Indian (NRI)	0	0	0	
	(iii) Foreign national (other than NRI)	0	0	0	

2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	0	0	0	
10.	Others NA	0	0	0	
	Total	0	0	0	0

Total number of shareholders (other than promoters)

0

**Total number of shareholders (Promoters+Public/
Other than promoters)**

2

**VII. *NUMBER OF PROMOTERS, MEMBERS, DEBENTURE HOLDERS
(Details, Promoters, Members (other than promoters), Debenture holders)**

Details	At the beginning of the year	At the end of the year
Promoters	2	2
Members (other than promoters)	0	0
Debenture holders	0	0

VIII. DETAILS OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

(A) *Composition of Board of Directors

Category	Number of directors at the beginning of the year		Number of directors at the end of the year		Percentage of shares held by directors as at the end of year	
	Executive	Non-executive	Executive	Non-executive	Executive	Non-executive

A. Promoter	0	5	0	5	0	0
B. Non-Promoter	0	0	0	0	0	0
(i) Non-Independent	0	0	0	0	0	0
(ii) Independent	0	0	0	0	0	0
C. Nominee Directors representing	0	1	0	1	0	0
(i) Banks & FIs	0	0	0	0	0	0
(ii) Investing institutions	0	0	0	0	0	0
(iii) Government	0	1	0	1	0	0
(iv) Small share holders	0	0	0	0	0	0
(v) Others	0	0	0	0	0	0
Total	0	6	0	6	0	0

Number of Directors and Key managerial personnel (who is not director) as on the financial year end date

9

(B) (i) *Details of directors and Key managerial personnel as on the closure of financial year

Name	DIN/PAN	Designation	Number of equity share(s) held	Date of cessation (after closure of financial year : If any)
RAKESH KUMAR	02865335	Director	0	
SHAJI JOHN	08418401	Director	0	
JAIKUMAR SRINIVASA	01220828	Additional director	0	
AJIT KUMAR TEWARY	08544397	Additional director	0	
SUBIR CHAKRAVORTY	07942416	Director	0	14/08/2020
NARENDER KUMAR S	08336618	Director	0	22/07/2020
MOHAN REDDY K	ABZPK0905E	CEO	0	
ASHOK KUMAR MALI	ADAPM2729D	CFO	0	
NIKHIL KUMAR	CDBPK0735Q	Company Secretar	0	

(ii) Particulars of change in director(s) and Key managerial personnel during the year

10

Name	DIN/PAN	Designation at the beginning / during the financial year	Date of appointment/ change in designation/ cessation	Nature of change (Appointment/ Change in designation/ Cessation)
SHAJI JOHN	08418401	Additional director	17/04/2019	APPOINTMENT
BIDYA SAGAR TIWARY	07324713	Director	01/07/2019	CESSATION
NADELLA NAGAMAHE	08148117	Director	03/08/2019	CHANGE IN DESIGNATION
NARENDER KUMAR S	08336618	Director	03/08/2019	CHANGE IN DESIGNATION
SHAJI JOHN	08418401	Director	03/08/2019	CHANGE IN DESIGNATION
AJIT KUMAR TEWARY	08544397	Additional director	23/08/2019	APPOINTMENT
KAUSHAL KISHORE AI	AAXPA1267E	CEO	23/12/2019	CESSATION
MOHAN REDDY K	ABZPK0905E	CEO	23/12/2019	APPOINTMENT
ADELLA NAGAMAHE	08148117	Director	07/02/2020	CESSATION
JAIKUMAR SRINIVASA	01220828	Additional director	07/02/2020	APPOINTMENT

IX. MEETINGS OF MEMBERS/CLASS OF MEMBERS/BOARD/COMMITTEES OF THE BOARD OF DIRECTORS

A. MEMBERS/CLASS /REQUISITIONED/NCLT/COURT CONVENED MEETINGS

Number of meetings held

1

Type of meeting	Date of meeting	Total Number of Members entitled to attend meeting	Attendance	
			Number of members attended	% of total shareholding
ANNUAL GENERAL MEETI	03/08/2019	2	2	100

B. BOARD MEETINGS

*Number of meetings held

9

S. No.	Date of meeting	Total Number of directors associated as on the date of meeting	Attendance	
			Number of directors attended	% of attendance
1	24/04/2019	6	4	66.67
2	10/05/2019	6	5	83.33
3	26/06/2019	6	6	100

S. No.	Date of meeting	Total Number of directors associated as on the date of meeting	Attendance	
			Number of directors attended	% of attendance
4	03/08/2019	5	5	100
5	27/09/2019	6	6	100
6	24/10/2019	6	6	100
7	23/12/2019	6	5	83.33
8	07/02/2020	6	5	83.33
9	28/03/2020	6	6	100

C. COMMITTEE MEETINGS

Number of meetings held

7

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance
1	AUDIT COMM	10/05/2019	3	3	100
2	AUDIT COMM	26/06/2019	3	3	100
3	AUDIT COMM	03/08/2019	2	2	100
4	AUDIT COMM	27/09/2019	3	3	100
5	AUDIT COMM	24/10/2019	3	3	100
6	AUDIT COMM	07/02/2020	3	3	100
7	CSR COMMIT	27/09/2019	4	4	100

D. *ATTENDANCE OF DIRECTORS

S. No.	Name of the director	Board Meetings			Committee Meetings			Whether attended AGM held on
		Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	28/09/2020
								(Y/N/NA)
1	RAKESH KUM	9	9	100	1	1	100	Yes
2	SHAJI JOHN	9	9	100	7	7	100	Yes
3	JAIKUMAR SF	1	1	100	0	0	0	Yes

4	AJIT KUMAR	5	5	100	4	4	100	Yes
5	SUBIR CHAKI	9	8	88.89	0	0	0	Not Applicable
6	NARENDER K	9	5	55.56	0	0	0	Not Applicable

X. *REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

Nil

Number of Managing Director, Whole-time Directors and/or Manager whose remuneration details to be entered

0

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1							0
	Total						

Number of CEO, CFO and Company secretary whose remuneration details to be entered

4

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	KAUSHAL KISHOR	CEO	3,726,983	0	0	537,057	4,264,040
2	MOHAN REDDY KA	CEO	1,234,190	0	0	238,421	1,472,611
3	ASHOK KUMAR MA	CFO	2,825,409	0	0	422,025	3,247,434
4	NIKHIL KUMAR	COMPANY SEC	1,786,801	0	0	257,000	2,043,801
	Total		9,573,383	0	0	1,454,503	11,027,886

Number of other directors whose remuneration details to be entered

0

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1							0
	Total						

XI. MATTERS RELATED TO CERTIFICATION OF COMPLIANCES AND DISCLOSURES

* A. Whether the company has made compliances and disclosures in respect of applicable provisions of the Companies Act, 2013 during the year Yes No

B. If No, give reasons/observations

REFER ATTACHMENT

XII. PENALTY AND PUNISHMENT - DETAILS THEREOF

(A) DETAILS OF PENALTIES / PUNISHMENT IMPOSED ON COMPANY/DIRECTORS /OFFICERS Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which penalised / punished	Details of penalty/ punishment	Details of appeal (if any) including present status

(B) DETAILS OF COMPOUNDING OF OFFENCES Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which offence committed	Particulars of offence	Amount of compounding (in Rupees)

XIII. Whether complete list of shareholders, debenture holders has been enclosed as an attachment

Yes No

XIV. COMPLIANCE OF SUB-SECTION (2) OF SECTION 92, IN CASE OF LISTED COMPANIES

In case of a listed company or a company having paid up share capital of Ten Crore rupees or more or turnover of Fifty Crore rupees or more, details of company secretary in whole time practice certifying the annual return in Form MGT-8.

Name

CS GUNJAN GOEL

Whether associate or fellow

Associate Fellow

Certificate of practice number

16350

I/We certify that:

(a) The return states the facts, as they stood on the date of the closure of the financial year aforesaid correctly and adequately.

(b) Unless otherwise expressly stated to the contrary elsewhere in this Return, the Company has complied with all the provisions of the Act during the financial year.

(c) The company has not, since the date of the closure of the last financial year with reference to which the last return was submitted or in the case of a first return since the date of the incorporation of the company, issued any invitation to the public to subscribe for any securities of the company.

(d) Where the annual return discloses the fact that the number of members, (except in case of a one person company), of the company exceeds two hundred, the excess consists wholly of persons who under second proviso to clause (ii) of sub-section (68) of section 2 of the Act are not to be included in reckoning the number of two hundred.

Declaration

I am Authorised by the Board of Directors of the company vide resolution no. ... dated

(DD/MM/YYYY) to sign this form and declare that all the requirements of the Companies Act, 2013 and the rules made thereunder in respect of the subject matter of this form and matters incidental thereto have been complied with. I further declare that:

1. Whatever is stated in this form and in the attachments thereto is true, correct and complete and no information material to the subject matter of this form has been suppressed or concealed and is as per the original records maintained by the company.
2. All the required attachments have been completely and legibly attached to this form.

Note: Attention is also drawn to the provisions of Section 447, section 448 and 449 of the Companies Act, 2013 which provide for punishment for fraud, punishment for false statement and punishment for false evidence respectively.

To be digitally signed by

Director

Jaikumar Srinivasan
Digitally signed by Jaikumar Srinivasan
Date: 2020.12.17 12:27:40 +05'30'

DIN of the director

01220828

To be digitally signed by

NIKHIL KUMAR
Digitally signed by NIKHIL KUMAR
Date: 2020.12.15 17:19:54 +05'30'

Company Secretary

Company secretary in practice

Membership number

52945

Certificate of practice number

Attachments

1. List of share holders, debenture holders
2. Approval letter for extension of AGM;
3. Copy of MGT-8;
4. Optional Attachment(s), if any

Attach

Attach

Attach

Attach

List of attachments

List of Shareholders.pdf
Part XI.pdf
NUPPL MGT-8.pdf

Remove attachment

Modify

Check Form

Prescrutiny

Submit

This eForm has been taken on file maintained by the Registrar of Companies through electronic mode and on the basis of statement of correctness given by the company



NEYVELI UTTAR PRADESH POWER LIMITED

A Joint Venture Company of NLCIL & UPRVUNL - A Government Company & Subsidiary of NLCIL
Regd. Office : 6/42, Vipul Khand, Gomti Nagar, Lucknow-226 010, Uttar Pradesh, Telefax: 0522-4951065.

Project Office : Ghatampur Thermal Power Project Site office, Near village Lahurimau-Kasimpur,
Ghatampur P.O.: Samuhi- 208001, Kanpur Nagar District, Uttar Pradesh, Telefax: 0512-2260033.

CIN: U40300UP2012GOI053569, e-mail: ceo.nuppl@nclindia.in, Phone: 0512-2260030.



LIST OF SHARE HOLDERS AS ON 31.03.2020

First Name	Middle Name	Last Name	Folio No.	DP ID – Client ID – Account Number	No. of shares held	Class of Shares
NLC India Limited	-	-	001	IN301330-22241434	155,92,68,288	Equity Shares
Uttar Pradesh Rajya Vidyut Utpadan Nigam Limited	-	-	002	IN301330-22220973	149,81,20,512	Equity Shares
Total					305,73,88,800	

for NEYVELI UTTAR PRADESH POWER LIMITED,

15/12/2020

COMPANY SECRETARY



NEYVELI UTTAR PRADESH POWER LIMITED

A Joint Venture Company of NLCIL & UPRVUNL - A Government Company & Subsidiary of NLCIL)
Regd. Office : 6/42, Vipul Khand, Gomti Nagar, Lucknow-226 010, Uttar Pradesh, Telefax: 0522-4951065.
Project Office : Ghatampur Thermal Power Project Site office, Near village Lahurimau-Kasimpur,
Ghatampur P.O.: Samuhi- 208001, Kanpur Nagar District, Uttar Pradesh, Telefax: 0512-2260033.
CIN: U40300UP2012GOI053569, e-mail: ceo.nuppl@nclindia.in, Phone: 0512-2260030.



MATTERS RELATED TO CERTIFICATION OF COMPLIANCES AND DISCLOSURES (PART – XI TO E-FORM MGT-7)

Compliance Status	Reasons
1. The requirement to have at least one Woman Director on its Board has not been complied with as per the provisions of the Act.	The Company is a Government Company and the power to appoint Directors including a woman Director on the Board of the Company, vests with the President of India. The Ministry of Coal (MoC), the Administrative Ministry has been regularly apprised of the requirements for appointment of requisite number of Directors and requested for taking necessary action. On appointment of required Directors on the Board, the requirements as applicable to the Company would be complied with.

for **NEYVELI UTTAR PRADESH POWER LIMITED,**


15/12/2020

COMPANY SECRETARY



GUNJAN GOEL

A.C.S., M.B.E.

Office: C-4/152, Vikas Khand, Gomti Nagar, Lucknow, Uttar Pradesh
Email: csgunjangoel@gmail.com

Mobile: 9415343577, 8318757293

FORM NO. MGT-8

[Pursuant to section 92(2) of the Companies Act, 2013 and
Rule 11(2) of Companies (Management and Administration) Rules, 2014]

NEYVELI UTTAR PRADESH POWER LIMITED

CIN: U40300UP2012GOI053569

**Regd. Office: 6/42, Vipul Khand, Gomti Nagar,
Lucknow-226010, UP, India**

Email id: cosec.nuppl@nlcindia.in

I have examined the registers, records and books and papers of **NEYVELI UTTAR PRADESH POWER LIMITED** (the Company) as required to be maintained under the Companies Act, 2013 (the Act) and the rules made there under for the financial year ended on **31st March, 2020**. In my opinion and to the best of my information and according to the examinations carried out by me and explanations furnished to me by the company, its officers and agents, I certify that:

A. The Annual Return states the facts as at the close of the aforesaid financial year correctly and adequately.

B. During the aforesaid financial year:

1. the Company has complied with provisions of the Act & Rules made there under in respect of its status under the Act: Government Company; Limited by shares
2. the Company has complied with provisions of the Act & Rules made there under in respect of maintenance of registers/records & making entries therein within the time prescribed therefor;
3. the Company has complied with provisions of the Act & Rules made there under in respect of filing of forms and returns as stated in the annual return, with the Registrar of Companies, Regional Director, Central Government, the Tribunal, Court or other authorities, wherever applicable, generally within the prescribed time.
4. the Company has complied with provisions of the Act & Rules made there under in respect of calling/ convening/ holding meetings of Board of Directors and its committees and the meetings of the members of the company on due dates as stated in the annual return in respect of which meetings, notices were given and the proceedings including the circular resolutions, if any, have been properly recorded in the Minute Book/registers maintained for the purpose and the same have been signed, as per the provisions of the Act.
5. the Company has not closed the Register of Members/ Security holders.
6. the Company has not granted advances/loans to its directors and/or persons or firms or companies referred in Section 185 of the Act.
7. the Company did not enter into any contracts/arrangements/transactions with any Related Party which are not an arm's length basis and no material contracts/arrangements were entered into with them at an arm's length basis as specified in Section 188 of the Act.
8. the Company has;
 - (a) Issuance and Allotment of Equity shares on Right basis in dematerialized form
 - (b) not made any transfer and transmission of securities
 - (c) not bought back any securities / redeemed preference shares or debentures
 - (d) not altered its share capital



9. there were no transactions necessitating the Company to keep in abeyance the rights to dividend, rights shares and bonus shares pending registration of transfer of shares in compliance with the provisions of the Act.
10. the Company has:
- (a) not declared/ paid dividend during the financial year under review.
- (b) no unpaid / unclaimed dividend/other amounts as applicable which needs to be transferred to the Investor Education and Protection Fund in accordance with the applicable provisions of the Act and related rules framed there under.
11. the Company has complied with provisions of the Act & Rules made there under in respect of signing of audited financial statement as per the provisions of section 134 of the Act and report of directors as per sub - sections (3), (4) and (5) thereof.
12. the Company has complied with the provisions of the Act, Rules, Regulations with respect to constitution/ appointment/ re-appointment/ retirement/ filling up casual vacancies/ disclosures of the Directors, Key Managerial Personnel and the remuneration paid to them, except the requirement to have at least one women Director on its Board was not complied with as per the provisions of the Act. There were following changes in the Directors and Key Managerial Personnel and the details of the remuneration paid to them have been duly disclosed in the financial statements and annual return for the financial year 2019-20 as per applicable provisions of the Act:

Sl. No.	Name	Nature of Change	E form SRN
1	SHAJI JOHN	Appointment as Addl. Director w.e.f. 17.04.2019	H54304977 dated 23/04/2019
2	AJIT KUMAR TEWARY	Appointment as Addl. Director w.e.f. 23.08.2019	H89958235 dated 16.09.2019
3	NADELLA NAGAMAHESWAR RAO	Change in designation as Director w.e.f. 03.08.2019	H84495761 dated 23.08.2019
4	SHAJI JOHN	Change in designation as Director w.e.f. 03.08.2019	H84495761 dated 23.08.2019
5	NARENDER SINGH KUMAR	Change in designation as Director w.e.f. 03.08.2019	H84495761 dated 23.08.2019
6	JAIKUMAR SRINIVASAN	Appointment as Addl. Director w.e.f. 07.02.2020	R34867978 dated 06.03.2020
7	NADELLA NAGAMAHESWAR RAO	Cessation as Director w.e.f. 07.02.2020	R34867978 dated 06.03.2020
8	BIDYA SAGAR TIWARY	Cessation as Director w.e.f. 01.07.2019	H79281432 dated 29.07.2019
9	KAUSHAL KISHORE ANAND	Cessation as CEO w.e.f. 23.12.2019	R26660993 dated 26.12.2019
10	MOHAN REDDY KALASANI	Appointment as CEO w.e.f. 23.12.2019	R26660993 dated 26.12.2019

I further report that pursuant to the provisions of Section 178 of the Companies Act, 2013, the Nomination and Remuneration Committee has already been constituted. It was required to review the requirements of the provisions of sub-section 2, 3 and 4 of the said Section 178 of the Act, as applicable to the government companies.

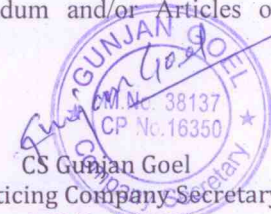
13. there were no instances of casual vacancies of the auditor and the appointment/re-appointment of the auditors was made as per the provision of Section 139(5) of the Act.



14. there were no such instances/ transactions which required approval to be taken from the Central Government, Tribunal, Regional Director, Registrar, Court or such other authorities under the various provisions of the Act.
15. the Company has not accepted/ renewed/ repaid any deposits within the meaning of chapter V of the Act.
16. the Company has complied with the provisions of the Act & Rules made there under in respect of borrowings from its members, public financial institutions, banks and others and creation/ modification/ satisfaction of charges in that respect, wherever applicable. During the year, the Company has filed following charge creation forms with respect to its borrowings from financial institutions: -

Sl. No.	Particular	Date of Event	E form SRN
1	CHG-1 for Creation of Charge	15.04.2019	H57943649 dated 01.05.2019
2	CHG-1 for Modification of Charge	11.04.2019	H51712396 dated 16.04.2019
3	CHG-1 for Creation of Charge	11.04.2019	H58016379 dated 02.05.2019

17. the Company has not granted loans, made investment or given guarantees or provided securities to other bodies corporate or persons falling under the provisions of section 186 of the Act.
18. the Company has not altered the provisions of the Memorandum and/or Articles of Association of the Company.


 CS GUNJAN GOEL
 Practicing Company Secretary
 ACS No. 38137
 CP No. 16350

Place : Lucknow
 Date : 14th December, 2020
 UDIN : A038137B001493602