

FORM NO. MGT-7

[Pursuant to sub-Section(1) of section 92 of the Companies Act, 2013 and sub-rule (1) of rule 11of the Companies (Management and Administration) Rules, 2014]



सत्यमेव जयते

Annual Return

Form language English Hindi

Refer the instruction kit for filing the form.

I. REGISTRATION AND OTHER DETAILS

(i) * Corporate Identification Number (CIN) of the company

U40300UP2012GOI053569

Pre-fill

Global Location Number (GLN) of the company

* Permanent Account Number (PAN) of the company

AAECN3221F

(ii) (a) Name of the company

NEYVELI UTTAR PRADESH POW

(b) Registered office address

6/42, Vipul Khand,
Gomti Nagar,
Lucknow
Lucknow
Uttar Pradesh
226016

(c) *e-mail ID of the company

cosec.nuppl@nlcindia.in

(d) *Telephone number with STD code

05224951065

(e) Website

(iii) Date of Incorporation

09/11/2012

(iv)	Type of the Company	Category of the Company	Sub-category of the Company
	Private Company	Company limited by shares	Union Government Company

(v) Whether company is having share capital

Yes No

(vi) *Whether shares listed on recognized Stock Exchange(s)

Yes No

(vii) *Financial year From date (DD/MM/YYYY) To date (DD/MM/YYYY)

(viii) *Whether Annual general meeting (AGM) held Yes No

(a) If yes, date of AGM

(b) Due date of AGM

(c) Whether any extension for AGM granted Yes No

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

*Number of business activities

S.No	Main Activity group code	Description of Main Activity group	Business Activity Code	Description of Business Activity	% of turnover of the company
1	D	Electricity, gas, steam and air condition supply	D1	Electric power generation, transmission and distribution	0

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES (INCLUDING JOINT VENTURES)

*No. of Companies for which information is to be given

Pre-fill All

S.No	Name of the company	CIN / FCRN	Holding/ Subsidiary/Associate/ Joint Venture	% of shares held
1	NLC INDIA LIMITED	L93090TN1956GOI003507	Holding	51

IV. SHARE CAPITAL, DEBENTURES AND OTHER SECURITIES OF THE COMPANY

(i) *SHARE CAPITAL

(a) Equity share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Total number of equity shares	5,000,000,000	1,693,036,800	1,693,036,800	1,693,036,800
Total amount of equity shares (in Rupees)	50,000,000,000	16,930,368,000	16,930,368,000	16,930,368,000

Number of classes

Class of Shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
EQUITY SHARES				
Number of equity shares	5,000,000,000	1,693,036,800	1,693,036,800	1,693,036,800

Nominal value per share (in rupees)	10	10	10	10
Total amount of equity shares (in rupees)	50,000,000,000	16,930,368,000	16,930,368,000	16,930,368,000

(b) Preference share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid-up capital
Total number of preference shares	0	0	0	0
Total amount of preference shares (in rupees)	0	0	0	0

Number of classes

0

Class of shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Number of preference shares				
Nominal value per share (in rupees)				
Total amount of preference shares (in rupees)				

(c) Unclassified share capital

Particulars	Authorised Capital
Total amount of unclassified shares	0

(d) Break-up of paid-up share capital

Class of shares	Number of shares	Total nominal amount	Total Paid-up amount	Total premium
Equity shares				
At the beginning of the year	904,400,000	9,044,000,000	9,044,000,000	
Increase during the year	788,636,800	7,886,368,000	7,886,368,000	0
i. Public Issues	0	0	0	0
ii. Rights issue	788,636,800	7,886,368,000	7,886,368,000	0
iii. Bonus issue	0	0	0	0
iv. Private Placement/ Preferential allotment	0	0	0	0
v. ESOPs	0	0	0	0
vi. Sweat equity shares allotted	0	0	0	0
vii. Conversion of Preference share	0	0	0	0

viii. Conversion of Debentures	0	0	0	0
ix. GDRs/ADRs	0	0	0	0
x. Others, specify	0	0	0	
NA				
Decrease during the year	0	0	0	0
i. Buy-back of shares	0	0	0	0
ii. Shares forfeited	0	0	0	0
iii. Reduction of share capital	0	0	0	0
iv. Others, specify	0	0	0	
NA				
At the end of the year	1,693,036,800	16,930,368,000	16,930,368,000	
Preference shares				
At the beginning of the year	0	0	0	
Increase during the year	0	0	0	0
i. Issues of shares	0	0	0	0
ii. Re-issue of forfeited shares	0	0	0	0
iii. Others, specify	0	0	0	
NA				
Decrease during the year	0	0	0	0
i. Redemption of shares	0	0	0	0
ii. Shares forfeited	0	0	0	0
iii. Reduction of share capital	0	0	0	0
iv. Others, specify	0	0	0	
NA				
At the end of the year	0	0	0	

(ii) Details of stock split/consolidation during the year (for each class of shares)

0

Class of shares		(i)	(ii)	(iii)
Before split / Consolidation	Number of shares			
	Face value per share			
After split / Consolidation	Number of shares			
	Face value per share			

(iii) Details of shares/Debentures Transfers since closure date of last financial year (or in the case of the first return at any time since the incorporation of the company) *

Nil
 [Details being provided in a CD/Digital Media] Yes No Not Applicable

Separate sheet attached for details of transfers Yes No

Note: In case list of transfer exceeds 10, option for submission as a separate sheet attachment or submission in a CD/Digital Media may be shown.

Date of the previous annual general meeting		<input type="text"/>	
Date of registration of transfer (Date Month Year)		<input type="text"/>	
Type of transfer	<input type="text"/>	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock	
Number of Shares/ Debentures/ Units Transferred	<input type="text"/>	Amount per Share/ Debenture/Unit (in Rs.)	<input type="text"/>
Ledger Folio of Transferor		<input type="text"/>	
Transferor's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name
Ledger Folio of Transferee		<input type="text"/>	
Transferee's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

Date of registration of transfer (Date Month Year) <input type="text"/>			
Type of transfer	<input type="text"/>	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock	
Number of Shares/ Debentures/ Units Transferred	<input type="text"/>	Amount per Share/ Debenture/Unit (in Rs.)	<input type="text"/>
Ledger Folio of Transferor <input type="text"/>			
Transferor's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name
Ledger Folio of Transferee <input type="text"/>			
Transferee's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

(iv) *Indebtedness including debentures (Outstanding as at the end of financial year)

Particulars	Number of units	Nominal value per unit	Total value
Non-convertible debentures	0	0	0
Partly convertible debentures	0	0	0
Fully convertible debentures	0	0	0
Secured Loans (including interest outstanding/accrued but not due for payment) excluding deposits			31,983,611,794
Unsecured Loans (including interest outstanding/accrued but not due for payment) excluding deposits			0
Deposit			0
Total			31,983,611,794

Details of debentures

Class of debentures	Outstanding as at the beginning of the year	Increase during the year	Decrease during the year	Outstanding as at the end of the year
Non-convertible debentures	0	0	0	0
Partly convertible debentures	0	0	0	0
Fully convertible debentures	0	0	0	0

(v) Securities (other than shares and debentures)

0

Type of Securities	Number of Securities	Nominal Value of each Unit	Total Nominal Value	Paid up Value of each Unit	Total Paid up Value
Total					

V. *Turnover and net worth of the company (as defined in the Companies Act, 2013)

(i) Turnover

0

(ii) Net worth of the Company

16,907,506,415

VI. (a) *SHARE HOLDING PATTERN - Promoters

S. No.	Category	Equity	Preference
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		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	0	0	0	
	(ii) Non-resident Indian (NRI)	0	0	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	1,693,036,800	100	0	
3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	0	0	0	
10.	Others	0	0	0	
	Total	1,693,036,800	100	0	0

Total number of shareholders (promoters)

2

(b) *SHARE HOLDING PATTERN - Public/Other than promoters

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	0	0	0	
	(ii) Non-resident Indian (NRI)	0	0	0	
	(iii) Foreign national (other than NRI)	0	0	0	

2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	0	0	0	
10.	Others	0	0	0	
	Total	0	0	0	0

Total number of shareholders (other than promoters)

0

Total number of shareholders (Promoters+Public/
Other than promoters)

2

**VII. *NUMBER OF PROMOTERS, MEMBERS, DEBENTURE HOLDERS
(Details, Promoters, Members (other than promoters), Debenture holders)**

Details	At the beginning of the year	At the end of the year
Promoters	2	2
Members (other than promoters)	0	0
Debenture holders	0	0

VIII. DETAILS OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

(A) *Composition of Board of Directors

Category	Number of directors at the beginning of the year		Number of directors at the end of the year		Percentage of shares held by directors as at the end of year	
	Executive	Non-executive	Executive	Non-executive	Executive	Non-executive

A. Promoter	0	5	0	5	0	0
B. Non-Promoter	0	0	0	0	0	0
(i) Non-Independent	0	0	0	0	0	0
(ii) Independent	0	0	0	0	0	0
C. Nominee Directors representing	0	1	0	1	0	0
(i) Banks & FIs	0	0	0	0	0	0
(ii) Investing institutions	0	0	0	0	0	0
(iii) Government	0	1	0	1	0	0
(iv) Small share holders	0	0	0	0	0	0
(v) Others	0	0	0	0	0	0
Total	0	6	0	6	0	0

Number of Directors and Key managerial personnel (who is not director) as on the financial year end date

9

(B) (i) *Details of directors and Key managerial personnel as on the closure of financial year

Name	DIN/PAN	Designation	Number of equity share(s) held	Date of cessation (after closure of financial year : If any)
RAKESH KUMAR	02865335	Director	0	
VAITHILINGANADAR T	07255163	Director	0	01/04/2019
NADELLA NAGAMAHE	08148117	Additional director	0	
BIDYA SAGAR TIWARI	07324713	Director	0	01/07/2019
SUBIR CHAKRAVORTY	07942416	Director	0	
NARENDER KUMAR S	08336618	Additional director	0	
KAUSHAL KISHORE AI	AAXPA1267E	CEO	0	
ASHOK KUMAR MALI	ADAPM2729D	CFO	0	
NIKHIL KUMAR	CDBPK0735Q	Company Secretar	0	

(ii) Particulars of change in director(s) and Key managerial personnel during the year

10

Name	DIN/PAN	Designation at the beginning / during the financial year	Date of appointment/ change in designation/ cessation	Nature of change (Appointment/ Change in designation/ Cessation)
SARAT KUMAR ACHAI	03357603	Director	01/08/2018	CESSATION
ASHISH UPADHYAYA	06855349	Additional director	18/07/2018	CHANGE IN DESIGNATION
SUBIR CHAKRAVORTY	07942416	Additional director	18/07/2018	CHANGE IN DESIGNATION
NADELLA NAGAMAHE	08148117	Additional director	16/08/2018	APPOINTMENT
SIRUGAMANI RAJAGOPALAN	AAZPS1979B	CFO	16/08/2018	CESSATION
ASHOK KUMAR MALI	ADAPM2729D	CFO	16/08/2018	APPOINTMENT
RAVI KUMAR SULUVA	BZNPS7928A	Company Secretary	01/05/2018	CESSATION
NIKHIL KUMAR	CDBPK0735Q	Company Secretary	04/05/2018	APPOINTMENT
ASHISH UPADHYAYA	06855349	Director	11/01/2019	CESSATION
NARENDER KUMAR SINGH	08336618	Additional director	18/01/2019	APPOINTMENT

IX. MEETINGS OF MEMBERS/CLASS OF MEMBERS/BOARD/COMMITTEES OF THE BOARD OF DIRECTORS

A. MEMBERS/CLASS /REQUISITIONED/NCLT/COURT CONVENED MEETINGS

Number of meetings held

2

Type of meeting	Date of meeting	Total Number of Members entitled to attend meeting	Attendance	
			Number of members attended	% of total shareholding
ANNUAL GENERAL MEETING	18/07/2018	2	2	100
EXTRAORDINARY GENERAL MEETING	26/09/2018	2	2	100

B. BOARD MEETINGS

*Number of meetings held

9

S. No.	Date of meeting	Total Number of directors associated as on the date of meeting	Attendance	
			Number of directors attended	% of attendance
1	04/05/2018	6	6	100
2	25/05/2018	6	4	66.67

S. No.	Date of meeting	Total Number of directors associated as on the date of meeting	Attendance	
			Number of directors attended	% of attendance
3	18/07/2018	6	6	100
4	16/08/2018	6	5	83.33
5	26/09/2018	6	5	83.33
6	20/11/2018	6	6	100
7	23/01/2019	6	6	100
8	13/03/2019	6	5	83.33
9	26/03/2019	6	6	100

C. COMMITTEE MEETINGS

Number of meetings held

10

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance
1	AUDIT COMM	04/05/2018	3	3	100
2	AUDIT COMM	25/05/2018	3	3	100
3	AUDIT COMM	16/08/2018	3	3	100
4	AUDIT COMM	26/09/2018	3	3	100
5	AUDIT COMM	20/11/2018	3	3	100
6	AUDIT COMM	08/02/2019	3	3	100
7	AUDIT COMM	26/03/2019	3	3	100
8	CSR COMMIT	18/07/2018	3	3	100
9	CSR COMMIT	26/09/2018	3	3	100
10	CSR COMMIT	26/03/2019	3	3	100

D. *ATTENDANCE OF DIRECTORS

S. No.	Name of the director	Board Meetings			Committee Meetings			Whether attended AGM held on
		Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	
1	RAKESH KUMAR	9	9	100	8	8	100	Yes
2	VAITHILINGA	9	9	100	10	10	100	Not Applicable
3	NADELLA NA	6	6	100	4	4	100	Yes
4	BIDYA SAGAI	9	9	100	7	7	100	Not Applicable
5	SUBIR CHAKI	9	7	77.78	0	0	0	Yes
6	NARENDER K	3	2	66.67	0	0	0	Yes

X. *REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

Nil

Number of Managing Director, Whole-time Directors and/or Manager whose remuneration details to be entered

0

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1							0
	Total						

Number of CEO, CFO and Company secretary whose remuneration details to be entered

5

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	KAUSHAL KISHOR	CEO	3,871,422	0	0	637,892	4,509,314
2	SIRUGAMANI RAJ	CFO	1,362,002	0	0	196,372	1,558,374
3	ASHOK KUMAR M	CFO	1,635,819	0	0	259,990	1,895,809
4	RAVI KUMAR SULL	COMPANY SEC	104,802	0	0	20,237	125,039
5	NIKHIL KUMAR	COMPANY SEC	1,326,532	0	0	208,890	1,535,422
	Total		8,300,577	0	0	1,323,381	9,623,958

Number of other directors whose remuneration details to be entered

0

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1							0
	Total						

XI. MATTERS RELATED TO CERTIFICATION OF COMPLIANCES AND DISCLOSURES

* A. Whether the company has made compliances and disclosures in respect of applicable provisions of the Companies Act, 2013 during the year Yes No

B. If No, give reasons/observations

REFER ATTACHMENT

XII. PENALTY AND PUNISHMENT - DETAILS THEREOF

(A) DETAILS OF PENALTIES / PUNISHMENT IMPOSED ON COMPANY/DIRECTORS /OFFICERS Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which penalised / punished	Details of penalty/ punishment	Details of appeal (if any) including present status

(B) DETAILS OF COMPOUNDING OF OFFENCES Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which offence committed	Particulars of offence	Amount of compounding (in Rupees)

XIII. Whether complete list of shareholders, debenture holders has been enclosed as an attachment

Yes No

XIV. COMPLIANCE OF SUB-SECTION (2) OF SECTION 92, IN CASE OF LISTED COMPANIES

In case of a listed company or a company having paid up share capital of Ten Crore rupees or more or turnover of Fifty Crore rupees or more, details of company secretary in whole time practice certifying the annual return in Form MGT-8.

Name

DILEEP KUMAR DIXIT

Whether associate or fellow

Associate Fellow

Certificate of practice number

6770

I/We certify that:

- (a) The return states the facts, as they stood on the date of the closure of the financial year aforesaid correctly and adequately.
- (b) Unless otherwise expressly stated to the contrary elsewhere in this Return, the Company has complied with all the provisions of the Act during the financial year.
- (c) The company has not, since the date of the closure of the last financial year with reference to which the last return was submitted or in the case of a first return since the date of the incorporation of the company, issued any invitation to the public to subscribe for any securities of the company.
- (d) Where the annual return discloses the fact that the number of members, (except in case of a one person company), of the company exceeds two hundred, the excess consists wholly of persons who under second proviso to clause (ii) of sub-section (68) of section 2 of the Act are not to be included in reckoning the number of two hundred.

Declaration

I am Authorised by the Board of Directors of the company vide resolution no. .. dated

(DD/MM/YYYY) to sign this form and declare that all the requirements of the Companies Act, 2013 and the rules made thereunder in respect of the subject matter of this form and matters incidental thereto have been complied with. I further declare that:

- 1. Whatever is stated in this form and in the attachments thereto is true, correct and complete and no information material to the subject matter of this form has been suppressed or concealed and is as per the original records maintained by the company.
- 2. All the required attachments have been completely and legibly attached to this form.

Note: Attention is also drawn to the provisions of Section 447, section 448 and 449 of the Companies Act, 2013 which provide for punishment for fraud, punishment for false statement and punishment for false evidence respectively.

To be digitally signed by

Director

RAKESH
KUMAR

DIN of the director

To be digitally signed by

NIKHIL
KUMAR

- Company Secretary
- Company secretary in practice

Membership number

Certificate of practice number

Attachments

- 1. List of share holders, debenture holders
- 2. Approval letter for extension of AGM;
- 3. Copy of MGT-8;
- 4. Optional Attachment(s), if any

List of attachments

MGT-8.pdf
List of shareholders.pdf
Part - XI.pdf

This eForm has been taken on file maintained by the Registrar of Companies through electronic mode and on the basis of statement of correctness given by the company

DILEEP DIXIT & CO.

COMPANY SECRETARIES

FORM NO. MGT-8

[Pursuant to section 92(2) of the Companies Act, 2013 and
Rule 11(2) of Companies (Management and Administration) Rules, 2014]

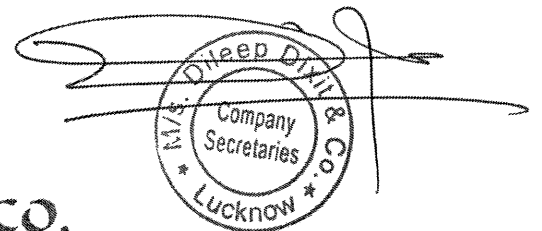
NEYVELI UTTAR PRADESH POWER LIMITED
COMPANY CIN: U40300UP2012GOI053569

I have examined the registers, records and books and papers of **NEYVELI UTTAR PRADESH POWER LIMITED** (the Company) as required to be maintained under the Companies Act, 2013 (the Act) and the rules made there under for the financial year ended on 31st March, 2019. In my opinion and to the best of my information and according to the examinations carried out by me and explanations furnished to me by the company, its officers and agents, I certify that:

A. The Annual Return states the facts as at the close of the aforesaid financial year correctly and adequately.

B. During the aforesaid financial year:

1. the Company has complied with provisions of the Act & Rules made there under in respect of its status under the Act;
2. the Company has complied with provisions of the Act & Rules made there under in respect of maintenance of registers/records & making entries therein within the time prescribed therefor;
3. the Company has complied with provisions of the Act & Rules made there under in respect of filing of forms and returns as stated in the annual return, with the Registrar of Companies, Regional Director, Central Government, the Tribunal, Court or other authorities, wherever applicable within/beyond the time prescribed;
4. the Company has complied with provisions of the Act & Rules made there under in respect of calling/ convening/ holding meetings of Board of Directors and its committees and the meetings of the members of the company on due dates as stated in the annual return in respect of which meetings, notices were given and the proceedings including the circular resolutions, and resolutions passed by postal ballot, if any, have been properly recorded in the Minute Book/registers maintained for the purpose and the same have been signed as per the provisions of Companies Act, 2013;
5. the Company has not opted to close its Register of Members / Security holders;
6. the Company has not granted advances/loans to its director and/or persons or firms or companies referred in section 185 of the Act;
7. During the year, the Company did not enter into any contracts/arrangements/transactions with any Related Party which are not an arm's length basis and no material contracts/arrangements were entered into with them at an arm's length basis as specified in Section 188 of the Act;



DILEEP DIXIT & CO.

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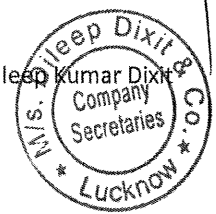
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COMPANY SECRETARIES

8. the Company has;
 - (a) issued Equity shares on Right basis in dematerialized form;
 - (b) not made any transfer and transmission of securities under review;
 - (c) not bought back any securities;
 - (d) not altered share capital during the year;
9. there were no transactions necessitating the Company to keep in abeyance the rights to dividend, rights shares and bonus shares pending registration of transfer of shares in compliance with the provisions of the Act.
10. the Company has:
 - (a) not declared/ paid dividend during the financial year under review.
 - (b) no unpaid / unclaimed dividend / other amounts as applicable which needs to be transferred to the Investor Education and Protection Fund in accordance with section 124 of the Act;
11. the Company has complied with provisions of the Act & Rules made there under in respect of signing of audited financial statement as per the provisions of section 134 of the Act and report of directors as per sub - sections (3), (4) and (5) thereof;
12.
 - (a) the Company has complied with the provisions of the Act, Rules, Regulations with respect to constitution of Board of Directors, disclosures of Directors and Key Managerial Personnel and the remuneration paid to them, except the requirement to have at least one women Director on its Board was not complied with as per the provisions of the Act;
 - (b) The appointment/re-appointment/retirement/resignation/re-designation of Directors and Key Managerial Personnel were made as per the provisions of the Act;
13. the Company being a Government Company, the requirement in respect of appointment/ re-appointment of auditors as per the provisions of section 139 of the Act does not arise;
14. the Company has not obtained any approvals from the Central Government, Tribunal, Regional Director, Registrar, Court or such other authorities under the various provisions of the Act;
15. the Company has not accepted/ renewed/ repaid any deposits;
16. the Company has complied with the provisions of the Act & Rules made there under in respect of borrowings from its members, public financial institutions, banks and others and creation/ modification/ satisfaction of charges in that respect, wherever applicable;
17. the Company has not granted loans, advances, given guarantees to bodies corporate and also has not made any investments in other bodies corporate or the persons falling under section 186 of the Act;
18. the Company has altered the provisions of the Articles of Association of the Company in accordance with the applicable provisions of the Act.

Signature

Place: Lucknow
Date: 05/09/2019

Name of Company Secretary in practice: Dileep Kumar Dixit & Co.
C.P. No. : 6770





NEYVELI UTTAR PRADESH POWER LIMITED

A Joint Venture Company of NLCIL & UPRVUNL - A Government Company & Subsidiary of NLCIL
Regd. Office : 6/42, Vipul Khand, Gomti Nagar, Lucknow-226 010, Uttar Pradesh, Telefax: 0522-4951065.

Project Office : Ghatampur Thermal Power Project Site office, Near village Lahurimau-Kasimpur,
Ghatampur P.O.: Samuhi- 208001, Kanpur Nagar District, Uttar Pradesh, Telefax: 0512-2260033.

CIN: U40300UP2012GOI053569, e-mail: ceo.nuppl@nlcindia.in, Phone: 0512-2260030.



LIST OF SHARE HOLDERS AS ON 31.03.2019

First Name	Middle Name	Last Name	Folio No.	DP ID – Client ID – Account Number	No. of shares held	Class of Shares
NLC India Limited	-	-	001	IN301330-22241434	86,34,48,768	Equity Shares
Uttar Pradesh Rajya Vidyut Utpadan Nigam Limited	-	-	002	IN301330-22220973	82,95,88,032	Equity Shares
Total					169,30,36,800	

for NEYVELI UTTAR PRADESH POWER LIMITED,

COMPANY SECRETARY





NEYVELI UTTAR PRADESH POWER LIMITED

A Joint Venture Company of NLCIL & UPRVUNL - A Government Company & Subsidiary of NLCIL)

Regd. Office : 6/42, Vipul Khand, Gomti Nagar, Lucknow-226 010, Uttar Pradesh, Telefax: 0522-4951065.

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CIN: U40300UP2012GOI053569, e-mail: ceo.nuppl@nlicindia.in, Phone: 0512-2260030.



MATTERS RELATED TO CERTIFICATION OF COMPLIANCE AND DISCLOSURES (PART – XI TO E-FORM MGT-7)

Compliance Status	Reasons
1. The requirement to have at least one Woman Director on its Board has not been complied with as per the provisions of the Act.	In the absence of Independent Directors (including a woman Director) on the Board as stated above, the requirements could not be complied with. On appointment of required Directors on the Board, the requirements as applicable to the Company would be complied with.

for **NEYVELI UTTAR PRADESH POWER LIMITED,**


COMPANY SECRETARY



Form No. MGT-9

EXTRACT OF ANNUAL RETURN AS ON THE FINANCIAL YEAR ENDED ON 31.03.2020
[Pursuant to section 92(3) of the Companies Act, 2013 and rule 12(1) of the Companies
(Management and Administration) Rules, 2014]

I. REGISTRATION AND OTHER DETAILS:

- i) CIN :U40300UP2012GOI053569
ii) Registration Date :09.11.2012
iii) Name of the Company :NEYVELI UTTAR PRADESH POWER LIMITED
iv) Category/Sub-Category of the Company :Government Company
v) Address of the registered office and contact details:

Address	6/42, Vipul Khand, Gomti Nagar, Lucknow
Town / City	Lucknow
State	Uttar Pradesh
Pin Code:	226 010
Country Code	IN
Telephone Number	0522 -4951065
Fax Number	0522 -4951065
Email Address	cosec.nuppl@nclindia.in

- vi) Whether listed company : No
vii) Name, Address and Contact details of Registrar and Transfer Agent, if any : NSDL Database Management Limited.,
4th Floor, 'A' Wing, Trade World,
Kamala Mills Compound, Senapati Bapat Marg,
Lower Parel, Mumbai- 400 013.

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY:

All the business activities contributing 10 % or more of the total turnover of the company shall be stated:-

Not applicable. The Company is yet to commence commercial operation.

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES:

Sl. No.	Name and Address of the Company	CIN/GLN	Holding/ Subsidiary/ Associate	% of shares held	Applicable Section
1	NLC India Limited (formerly Neyveli Lignite Corporation Limited) First Floor, No. 8, Mayor Sathyamurthy Road, FSD, Egmore Complex of Food Corporation of India, Chetpet, Chennai – 600 031	L93090TN1956GOI003507	Holding Company	51%	2 (46)

IV .SHAREHOLDING PATTERN (Equity Share Capital Breakup as percentage of Total Equity)

i) Category-wise Share Holding

Category of Shareholders (1)	No. of Shares held at the beginning of the year (As on 01.04.2019)				No. of Shares held at the end of the year (As on 31.03.2020)				% Change during the year (10)
	Demat (2)	Physical (3)	Total (4)	% of Total Shares (5)	Demat (6)	Physical (7)	Total (8)	% of Total Shares (9)	
A. Promoters									
(1) Indian									
a) Individual/HUF	0	0	0	0	0	0	0	0	0
b) Central Govt	0	0	0	0	0	0	0	0	0
c) State Govt (s)	0	0	0	0	0	0	0	0	0
d) Bodies Corp.	1693036800	0	1693036800	100	3057388800	0	3057388800	100	0
e) Banks / FI	0	0	0	0	0	0	0	0	0
f) Any Other	0	0	0	0	0	0	0	0	0
Sub-total (A) (1)	1693036800	0	1693036800	100	3057388800	0	3057388800	100	0
(2) Foreign									
a) NRIs - Individuals	0	0	0	0	0	0	0	0	0
b) Other – Individuals	0	0	0	0	0	0	0	0	0
c) Bodies Corp.	0	0	0	0	0	0	0	0	0
d) Banks / FI	0	0	0	0	0	0	0	0	0
e) Any Other	0	0	0	0	0	0	0	0	0
Sub-total (A) (2)	0	0	0	0	0	0	0	0	0
Total shareholding of Promoter (A) = (A)(1) + (A)(2)	1693036800	0	1693036800	100	3057388800	0	3057388800	100	0
B. Public Shareholding									
1. Institutions									
a) Mutual Funds	0	0	0	0	0	0	0	0	0
b) Banks/ FI	0	0	0	0	0	0	0	0	0
c) Central Govt.	0	0	0	0	0	0	0	0	0
d) State Govt.(s)	0	0	0	0	0	0	0	0	0
e) Venture Capital Funds	0	0	0	0	0	0	0	0	0
f) Insurance Companies	0	0	0	0	0	0	0	0	0
g) FIs	0	0	0	0	0	0	0	0	0
h) Foreign Venture Capital Funds	0	0	0	0	0	0	0	0	0
i) Others	0	0	0	0	0	0	0	0	0
Sub-total (B) (1)	0	0	0	0	0	0	0	0	0

i) Category-wise Share Holding

Category of Shareholders (1)	No. of Shares held at the beginning of the year (As on 01.04.2019)				No. of Shares held at the end of the year (As on 31.03.2020)				% Change during the year (10)
	Demat (2)	Physical (3)	Total (4)	% of Total Shares (5)	Demat (6)	Physical (7)	Total (8)	% of Total Shares (9)	
2. Non-Institutions									
(a) Bodies Corporate									
i) Indian	0	0	0	0	0	0	0	0	0
ii) overseas	0	0	0	0	0	0	0	0	0
(b) Individuals									
i) Individual shareholders holding nominal share capital upto ₹1 lakh	0	0	0	0	0	0	0	0	0
ii) Individual shareholders holding nominal share capital in excess of ₹1 lakh	0	0	0	0	0	0	0	0	0
(c) Others	0	0	0	0	0	0	0	0	0
Sub-total (B) (2)	0	0	0	0	0	0	0	0	0
Total Public Shareholding (B)=(B)(1) + (B)(2)	0	0	0	0	0	0	0	0	0
C. Shares held by Custodian for GDRs & ADRs	0	0	0	0	0	0	0	0	0
Grand Total (A+B+C)	1693036800	0	1693036800	100	3057388800	0	3057388800	100	0

(ii) Shareholding of Promoters

Sl. No.	Shareholder's Name	Shareholding at the beginning of the year (As on 01.04.2019)			Share holding at the end of the year (As on 31.03.2020)			% change in share holding during the year
		No. of Shares	% of total Shares of the Company	% of Shares Pledged / encumbered to total shares	No. of Shares	% of total Shares of the Company	% of Shares Pledged / encumbered to total shares	
1	NLC India Limited	863448768	51	--	1559268288	51	--	0
2	Uttar Pradesh Rajya Vidyut Utpadan Nigam Limited	829588032	49	--	1498120512	49	--	0
	Total	1693036800	100	--	3057388800	100	--	0

(iii) Change in Promoters' Shareholding (please specify, if there is no change)

Sl. No.	Particulars	Shareholding at the beginning of the year (As on 01.04.2019)		Cumulative Shareholding during the year	
		No. of shares	% of total shares of the Company	No. of shares	% of total shares of the Company
1	At the beginning of the year	1693036800	100	---	---
2	Date wise Increase/ Decrease in Promoters Share holding during the year specifying the reasons for increase/ decrease (e.g. allotment / transfer / bonus/ sweat equity etc)				
	27.09.2019 (Right shares Allotment)	705432000		2398468800	100
	28.03.2020 (Right shares Allotment)	658920000		3057388800	100
3	At the End of the year (As on 31.03.2020)	3057388800	100	3057388800	100

(iv) Shareholding Pattern of top ten Shareholders (other than Directors, Promoters and Holders of GDRs and ADRs): NA**(v) Shareholding of Directors and Key Managerial Personnel:**

Sl. No.	For each of the Directors and KMP	Shareholding at the beginning of the year (As on 01.04.2019)		Cumulative Shareholding during the year	
		No. of shares	% of total shares of the Company	No. of shares	% of total shares of the Company
1	At the beginning of the year	0	---	0	---
2	Date wise Increase/ Decrease in Promoters Share holding during the year specifying the reasons for increase/ decrease (e.g. allotment / transfer / bonus/ sweat equity etc)	Not applicable			
3	At the End of the year (As on 31.03.2020)	0	---	0	---

V. INDEBTNESS**Indebtedness of the Company including interest outstanding/accrued but not due for payment (Amount in ₹)**

	Secured Loans excluding deposits	Unsecured Loans	Deposits	Total Indebtedness
Indebtedness at the beginning of the financial year (As on 01.04.2019)				
i) Principal Amount	3198,36,11,794	0	-	3198,36,11,794
ii) Interest due but not paid	0	0	-	0
iii) Interest accrued but not due	0	0	-	0
Total (i+ii+iii)	3198,36,11,794	0	-	3198,36,11,794
Change in Indebtedness during the financial year				
Addition				
i) Principal Amount	1979,41,05,093	790,00,00,000	-	2769,41,05,093

ii) Interest due but not paid	0	0	-	0
iii) Interest accrued but not due	0	0	-	0
Reduction				
i) Principal Amount	0	790,00,00,000	-	790,00,00,000
ii) Interest due but not paid	0	0	-	0
iii) Interest accrued but not due	0	0	-	0
Indebtedness at the end of the financial year (As on 31.03.2020)				
i) Principal Amount	5177,77,16,887	0	-	5177,77,16,887
ii) Interest due but not paid	0	0	-	0
iii) Interest accrued but not due	0	0	-	0
Total (i+ii+iii)	5177,77,16,887	0	-	5177,77,16,887

VI. REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

A. Remuneration to Managing Director, Whole-time Directors and/or Manager: Not applicable.

B. Remuneration to other directors: Not applicable.

C. Remuneration to Key Managerial Personnel other than MD/Manager/WTD:

(Amount in ₹)

Sl. No.	Particulars of Remuneration	Key Managerial Personnel for the FY 2019-20				
		CEO Shri. Kaushal Kishore Anand	CEO Shri. Mohan Reddy K	CFO Shri Ashok Kumar Mali	Company Secretary Shri. Nikhil Kumar	Total
1.	Gross salary					
	a) Salary as per provisions contained in section 17(1) of the Income Tax Act, 1961	37,26,983	12,34,190	28,25,409	17,86,801	95,73,383
	b) Value of perquisites u/s 17(2) of the Income Tax Act, 1961	-	-	-	-	-
	c) Profits in lieu of Salary u/s 17(3) of the Income Tax Act, 1961	-	-	-	-	-
2.	Stock Option	-	-	-	-	-
3.	Sweat Equity	-	-	-	-	-
4.	Commission as % of					
	a) Profit	-	-	-	-	-
	b) Others, specify					
5.	Others, (CPF Contribution, Pension etc.,)	5,37,057	2,38,421	4,22,025	2,57,000	14,54,503
	Total	42,64,040	14,72,611	32,47,434	20,43,801	1,10,27,886

VII. PENALTIES/PUNISHMENT/COMPOUNDING OF OFFENCES: NIL

for and on behalf of the Board of Directors

Place:
Date :

RAKESH KUMAR
CHAIRMAN